

Learning under Distribution Shifts

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EPFL

1. How AI Systems are Trained and Make Predictions
2. Distribution Shifts and the Challenges They Pose
3. Approaches for Distribution Shifts

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How AI Systems are Trained and Make Predictions

Training Dataset

$$X = \{x_1, x_2, \dots, x_N\}$$

$$Y = \{y_1, y_2, \dots, y_N\}$$



Dog (O)

Cat (X)

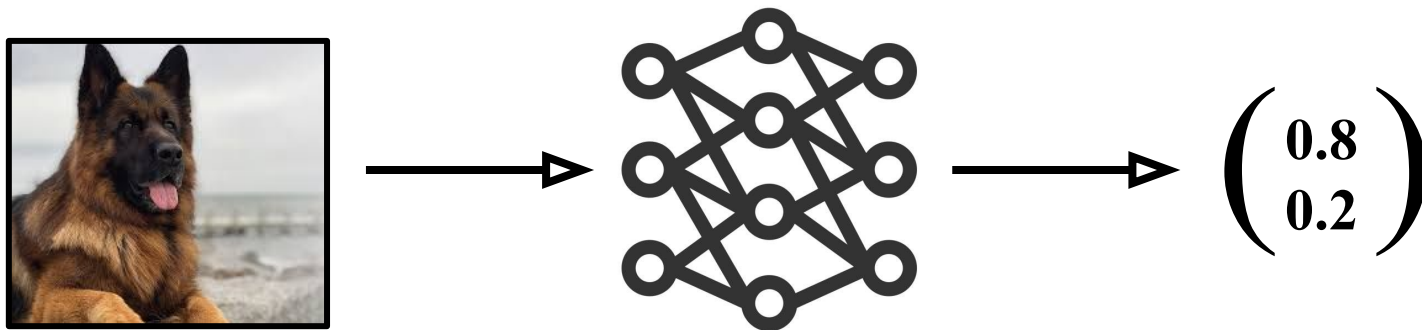


Dog (X)

Cat (O)

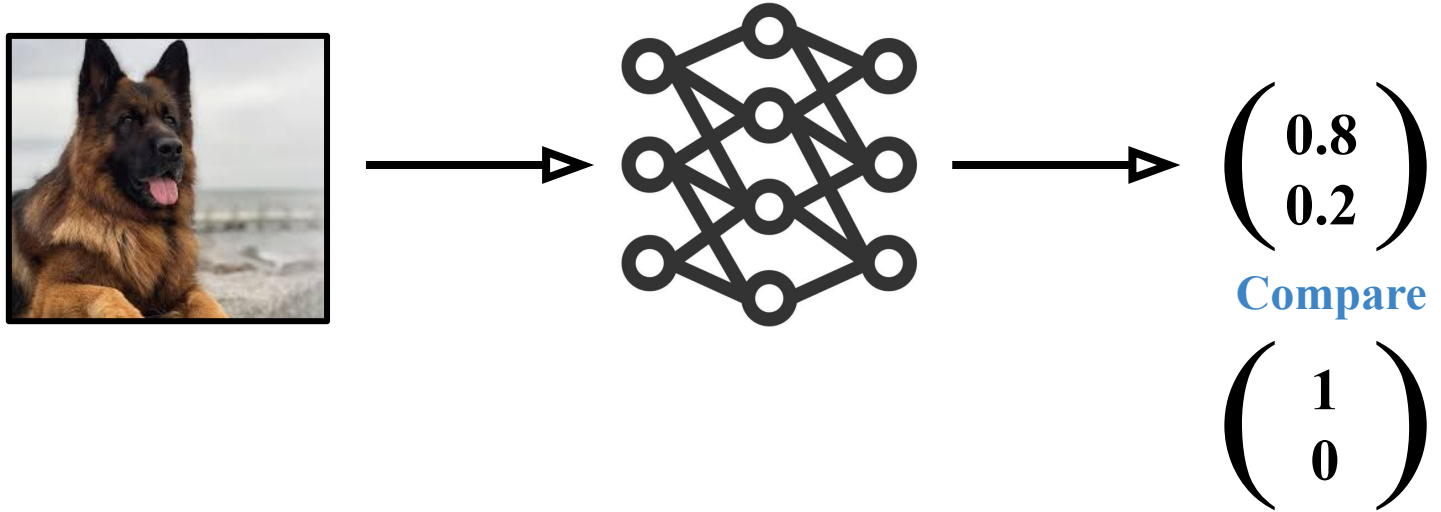
How AI Systems are Trained and Make Predictions

Machine Learning



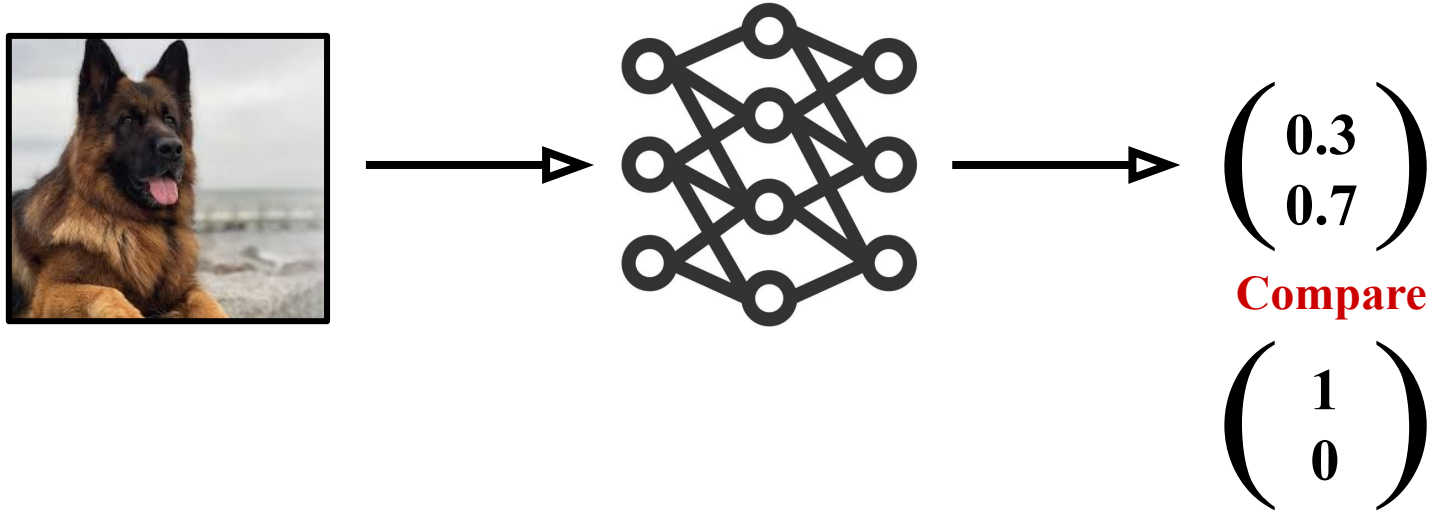
How AI Systems are Trained and Make Predictions

Machine Learning



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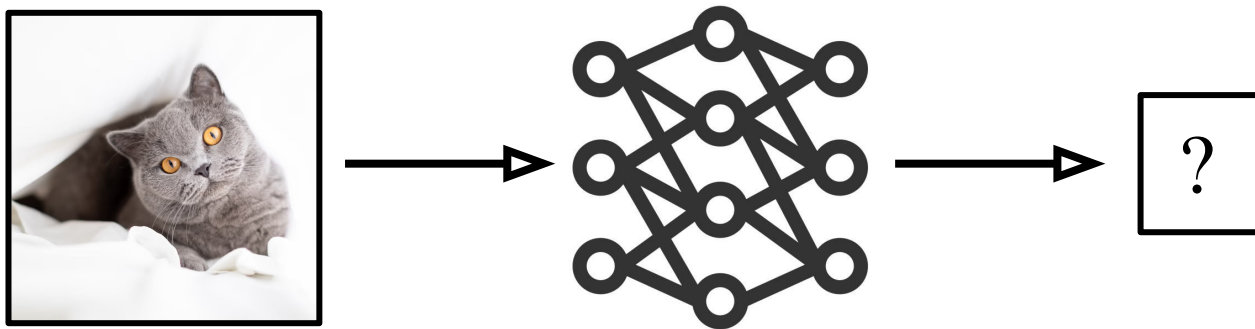
Train the model by **minimizing** the empirical risk

$$R(f) = \mathbb{E}_{(x,y) \sim p} [\mathcal{L}(y, f(x))]$$



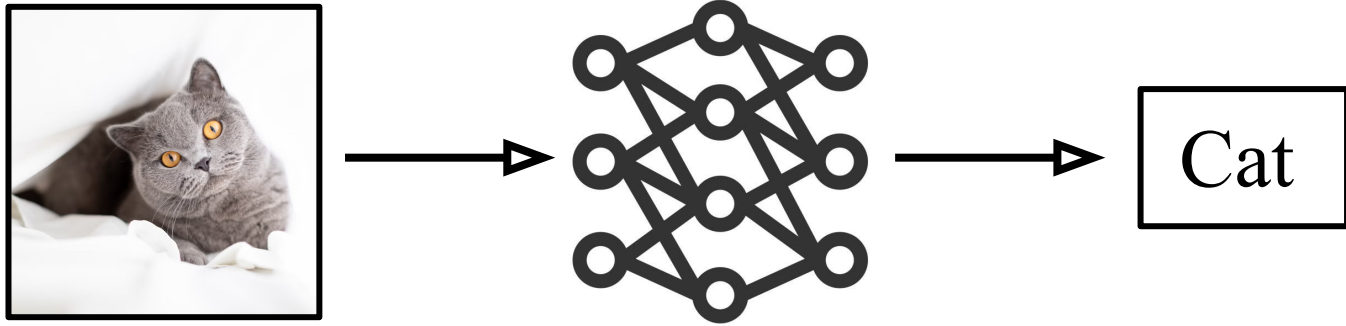
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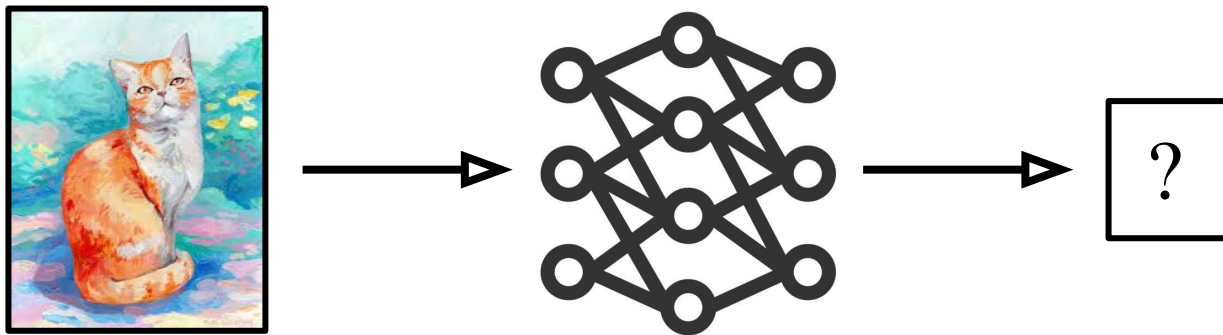
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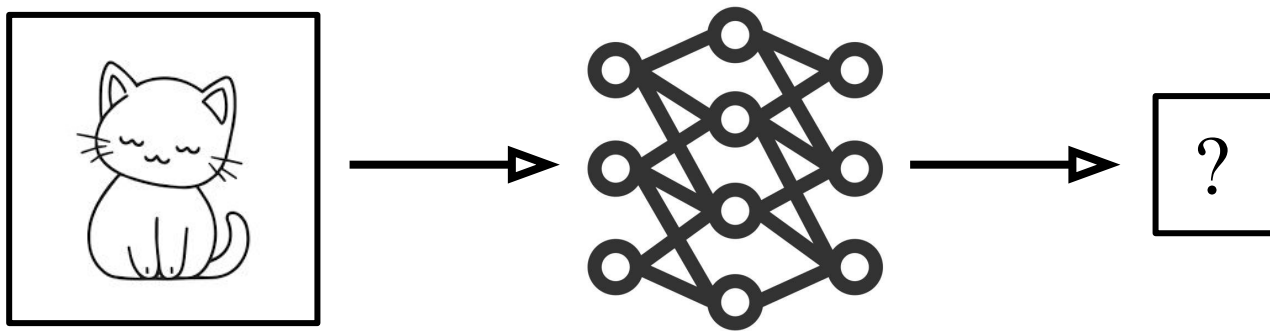
Distribution Shifts and the Challenges They Pose

Distribution Shifts



Distribution Shifts and the Challenges They Pose

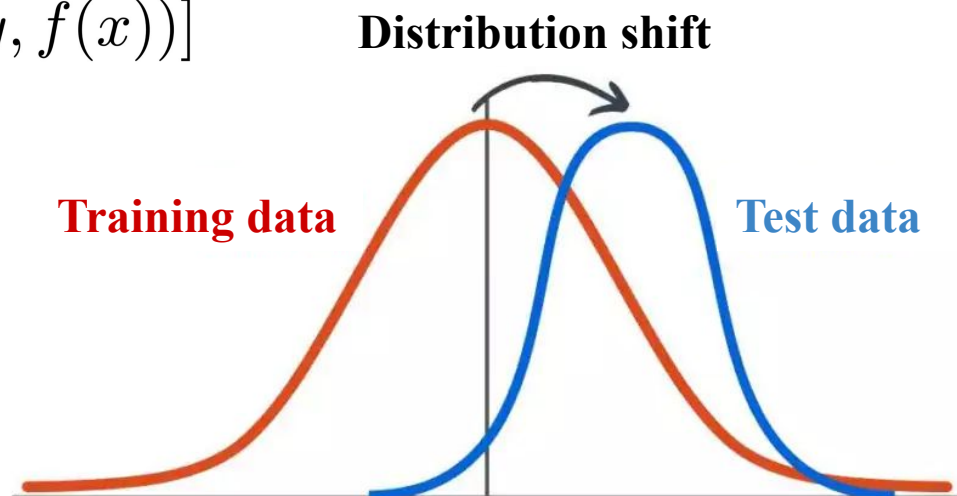
Distribution Shifts



Distribution Shifts and the Challenges They Pose

Distribution Shifts

$$R(f) = \mathbb{E}_{(x,y) \sim p} [\mathcal{L}(y, f(x))]$$



Distribution Shifts and the Challenges They Pose

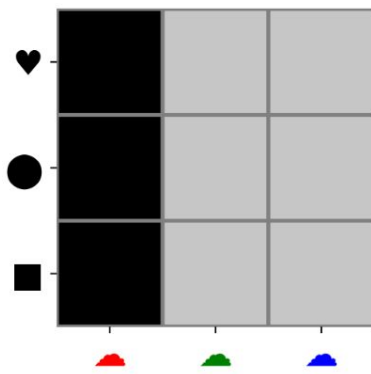
Distribution Shifts

$$|R_{\text{train}}(f) - R_{\text{test}}(f)| = \left| \mathbb{E}_{(x,y) \sim p_{\text{train}}} [\mathcal{L}(y, f(x))] - \mathbb{E}_{(x,y) \sim p_{\text{test}}} [\mathcal{L}(y, f(x))] \right| > \epsilon.$$

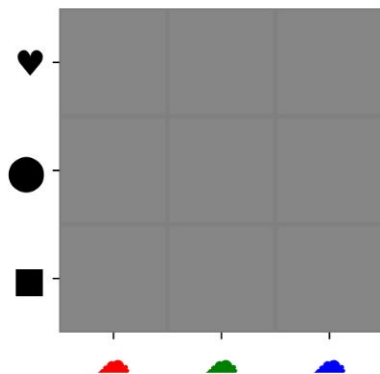
Distribution Shifts and the Challenges They Pose

Distribution Shift Types

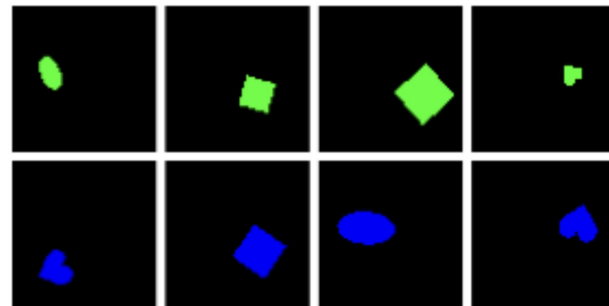
1. Domain Shift



Training Data



Test Data



Distribution Shifts and the Challenges They Pose

Distribution Shift Types

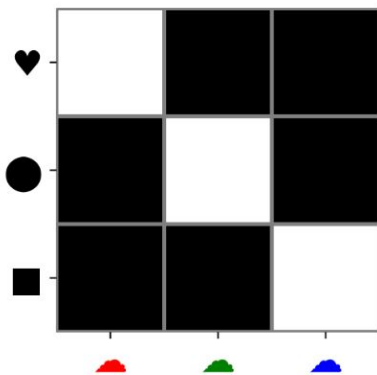
1. Domain Shift



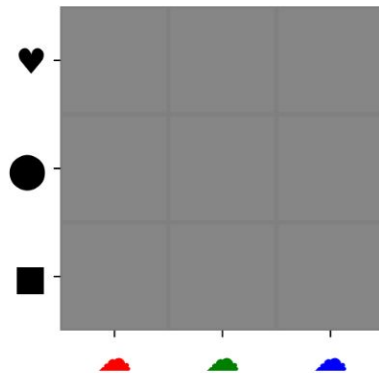
Distribution Shifts and the Challenges They Pose

Distribution Shift Types

2. Spurious Correlation



Training Data

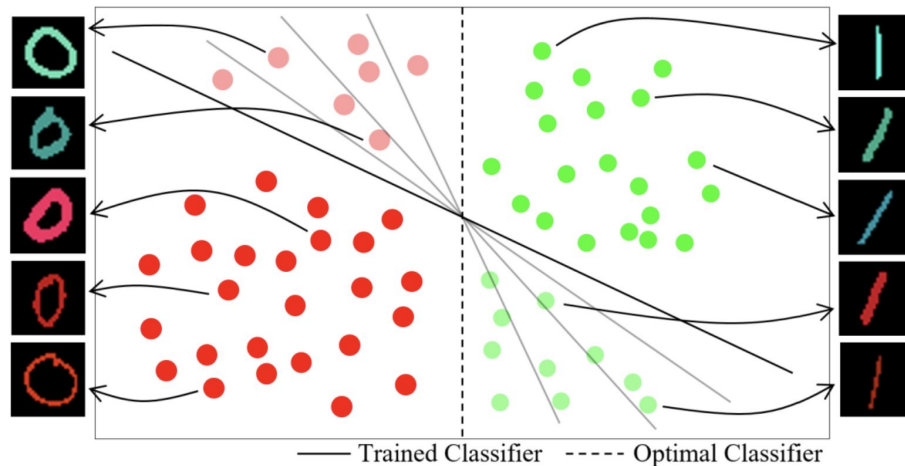
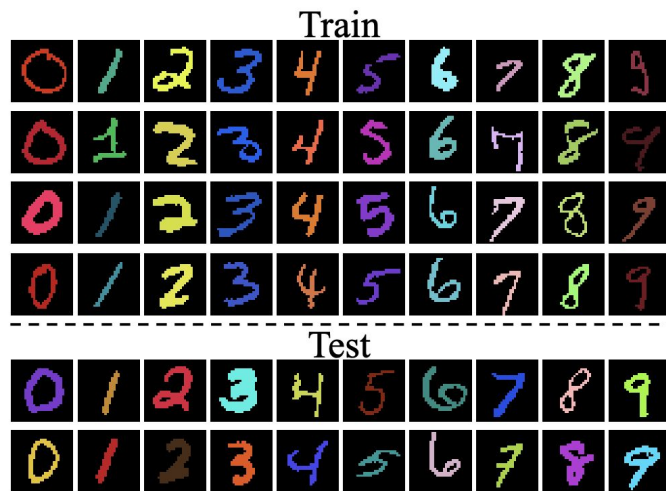


Test Data

Distribution Shifts and the Challenges They Pose

Distribution Shift Types

2. Spurious Correlation



Distribution Shifts and the Challenges They Pose

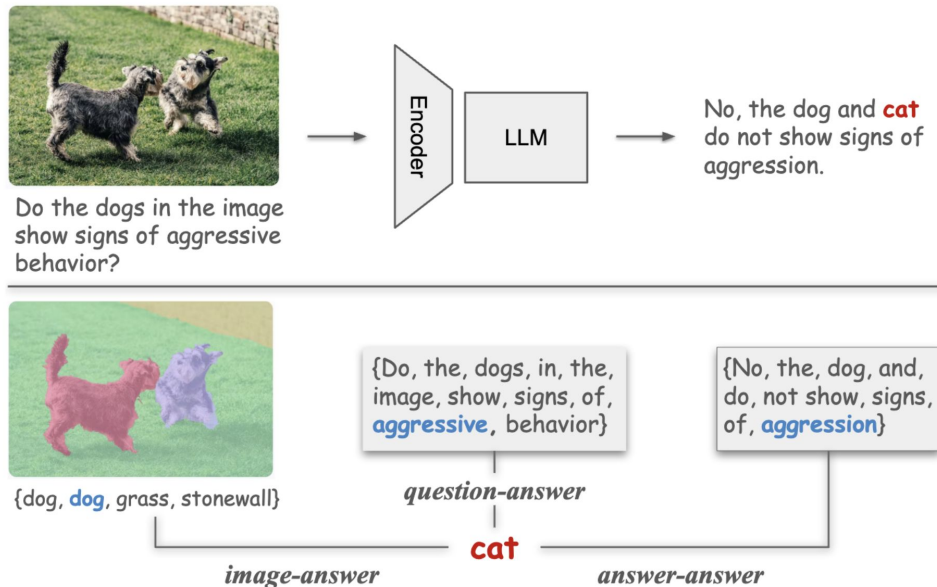
Distribution Shift Types

2. Spurious Correlation



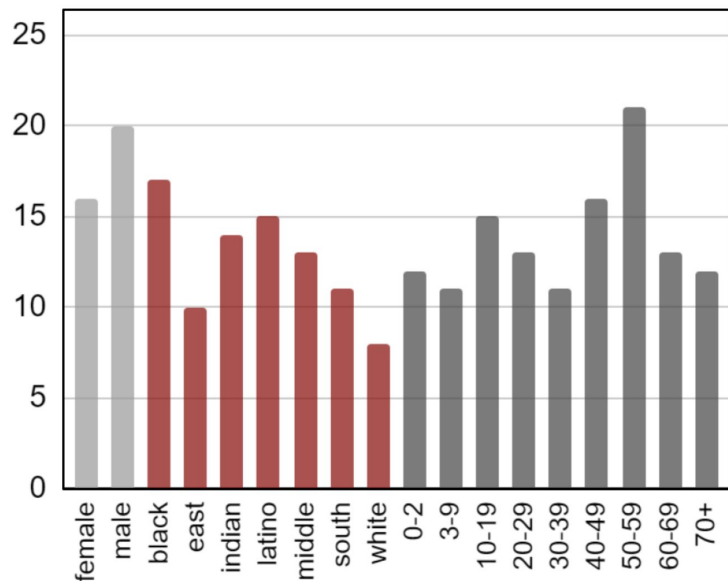
Distribution Shifts and the Challenges They Pose

Distribution Shift in Large Vision Language Model



Distribution Shifts and the Challenges They Pose

Distribution Shift in Large Vision Language Model



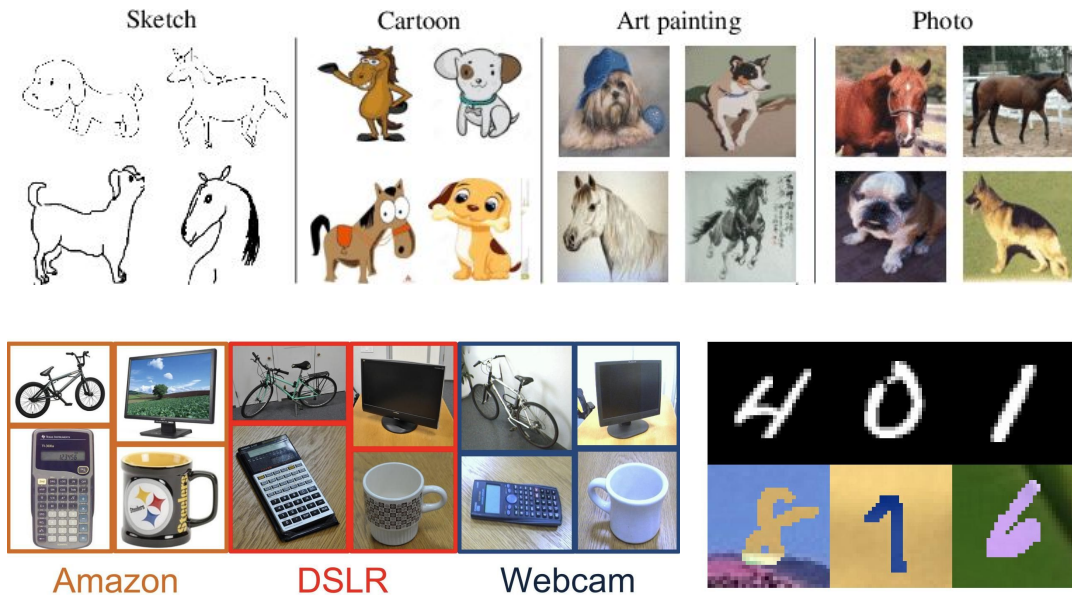
1. How AI Systems are Trained and Make Predictions
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- 3. Approaches for Distribution Shifts**

Approaches for Distribution Shifts

1. Benchmarks to evaluate distribution shifts
2. Model to address domain shift
3. Model to address spurious correlation
4. Zero-shot inference with foundation model (e.g., ChatGPT)


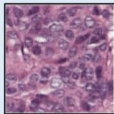
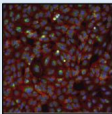
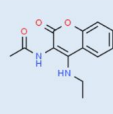
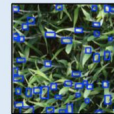



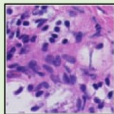
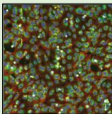
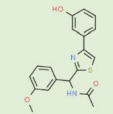



Approaches for Distribution Shifts

Benchmark














Approaches for Distribution Shifts

Benchmark

	Domain generalization					Subpopulation shift	Domain generalization + subpopulation shift			
Dataset	lWildCam	Camelyon17	RxRx1	OGB-MolPCBA	GlobalWheat	CivilComments	FMoW	PovertyMap	Amazon	Py150
Input (x)	camera trap photo	tissue slide	cell image	molecular graph	wheat image	online comment	satellite image	satellite image	product review	code
Prediction (y)	animal species	tumor	perturbed gene	bioassays	wheat head bbox	toxicity	land use	asset wealth	sentiment	autocomplete
Domain (d)	camera	hospital	batch	scaffold	location, time	demographic	time, region	country, rural-urban	user	git repository
# domains	323	5	51	120,084	47	16	16 x 5	23 x 2	2,586	8,421
# examples	203,029	455,954	125,510	437,929	6,515	448,000	523,846	19,669	539,502	150,000
Train example						What do Black and LGBT people have to do with bicycle licensing?			Overall a solid package that has a good quality of construction for the price.	<pre>import numpy as np -- norm=np.____</pre>
Test example						As a Christian, I will not be patronizing any of those businesses.			I "loved" my French press, it's so perfect and came with all this fun stuff!	<pre>import subprocess as sp p=sp.Popen() stdout=p.____</pre>
Adapted from	Beery et al. 2020	Bandi et al. 2018	Taylor et al. 2019	Hu et al. 2020	David et al. 2021	Borkan et al. 2019	Christie et al. 2018	Yeh et al. 2020	Ni et al. 2019	Raychev et al. 2016

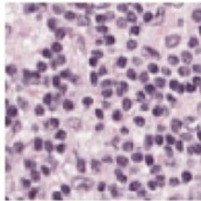
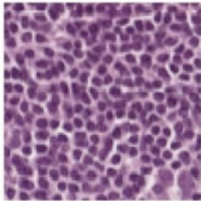
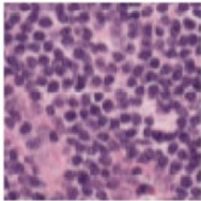
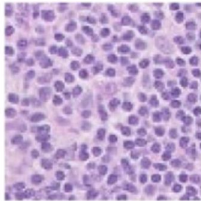
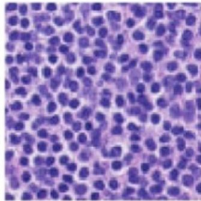
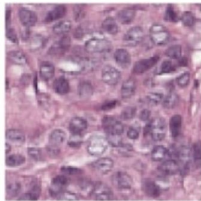
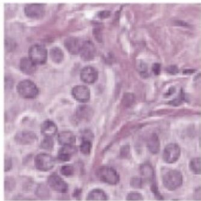
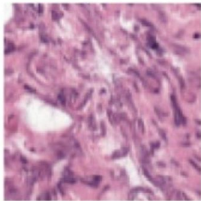
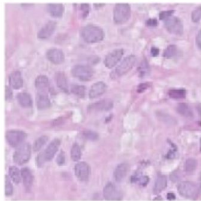
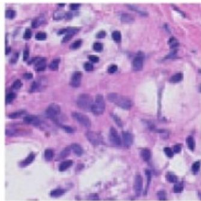
Approaches for Distribution Shifts

Benchmark

Train			Test (OOD)
$d = \text{Location 1}$	$d = \text{Location 2}$	$d = \text{Location 245}$	$d = \text{Location 246}$
			
Vulturine Guinea fowl	African Bush Elephant	...	Wild Horse
			
Cow	Cow	Southern Pig-Tailed Macaque	Great Curassow
Test (ID)			
$d = \text{Location 1}$	$d = \text{Location 2}$	$d = \text{Location 245}$	
			
Giraffe	Impala	Sun Bear	


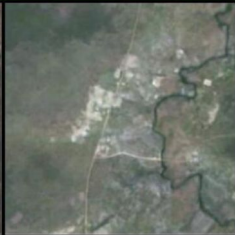



Approaches for Distribution Shifts

Benchmark

Train			Val (OOD)	Test (OOD)	
	d = Hospital 1	d = Hospital 2	d = Hospital 3	d = Hospital 4	d = Hospital 5
y = Normal					
y = Tumor					

Approaches for Distribution Shifts

Benchmark

	Train			Test	
Satellite image (x)					
Country / Urban-rural (d)	Angola / urban	Angola / rural	Angola / urban	Kenya / urban	Kenya / rural
Asset index (y)	0.259	-1.106	2.347	0.827	0.130

Approaches for Distribution Shifts

Benchmark

	Repository ID (d)	Source code context (x)	Next tokens (y)
Train	Repository 1	<pre>... from easyrec.gateway import EasyRec <EOL> gateway = EasyRec('tenant', 'key') <EOL> item_type = gateway. ... response = gateway.get_other_users() <EOL> get_params = HTTPretty. </pre>	<div>get_item_type</div> <div>last_request</div>
	Repository 2	<pre>import numpy as np ... <EOL> if np.linalg.norm(target - prev_target) > far_threshold: <EOL> norm = np. ... new_trans = np.zeros((n_beats + max_beats, n_beats) <EOL> new_trans[:n_beats, :n_beats] = np. </pre>	<div>linalg</div> <div>max</div>
	⋮		
Test	Repository 6,001	<pre>... if e.errno == errno.ENOENT: <EOL> continue <EOL> p = subprocess.Popen () <EOL> stdout = p. ... command = shlex.split(command) <EOL> command = map(str, command) <EOL> env = os. </pre>	<div>communicate</div> <div>environ</div>
	⋮		

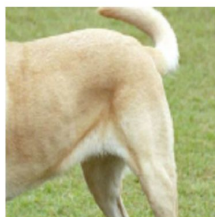
Approaches for Domain Shifts

Data Augmentation

“Let’s help the model become more familiar with a wider variety of data”



(a) Original



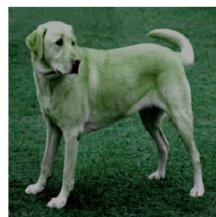
(b) Crop and resize



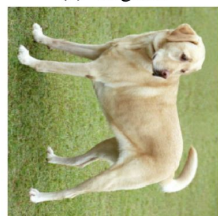
(c) Crop, resize (and flip)



(d) Color distort. (drop)



(e) Color distort. (jitter)



(f) Rotate $\{90^\circ, 180^\circ, 270^\circ\}$



(g) Cutout



(h) Gaussian noise



(i) Gaussian blur



(j) Sobel filtering

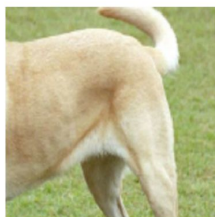
Approaches for Domain Shifts

Data Augmentation

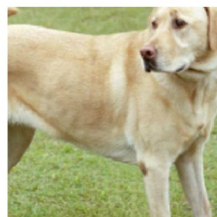
“Let’s help the model become more familiar with a wider variety of data”



(a) Original



(b) Crop and resize



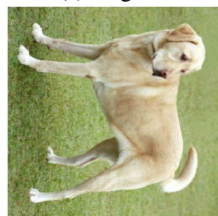
(c) Crop, resize (and flip)



(d) Color distort. (drop)



(e) Color distort. (jitter)



(f) Rotate $\{90^\circ, 180^\circ, 270^\circ\}$



(g) Cutout



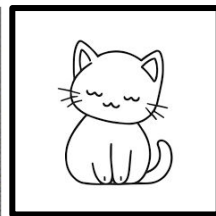
(h) Gaussian noise



(i) Gaussian blur

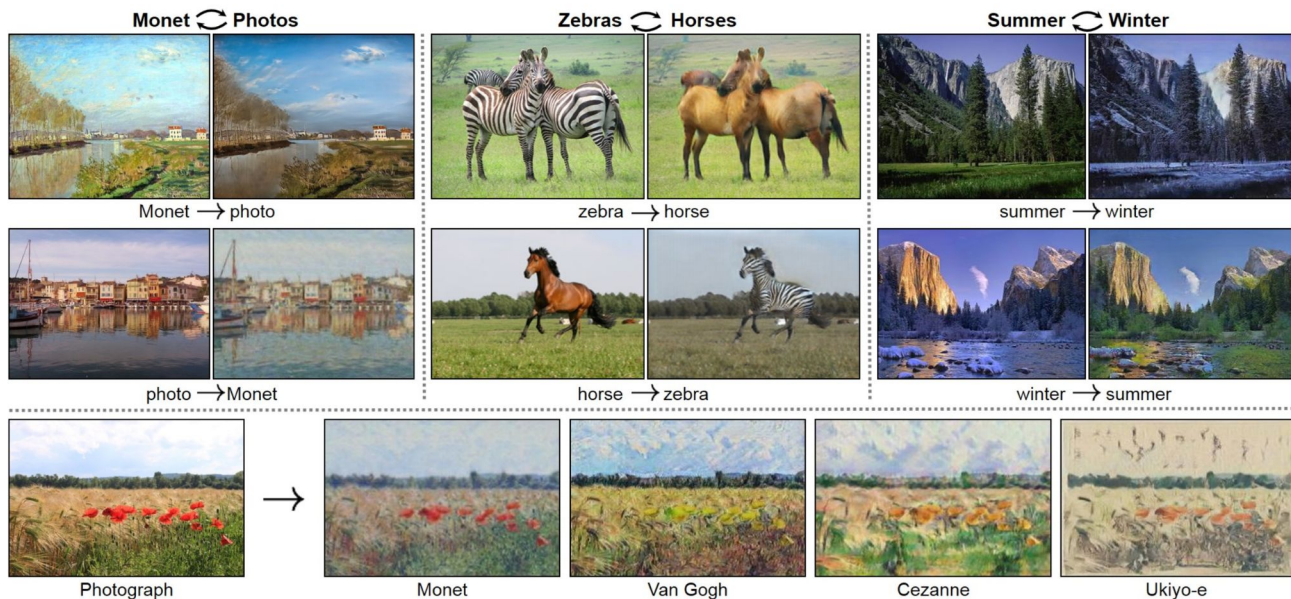


(j) Sobel filtering



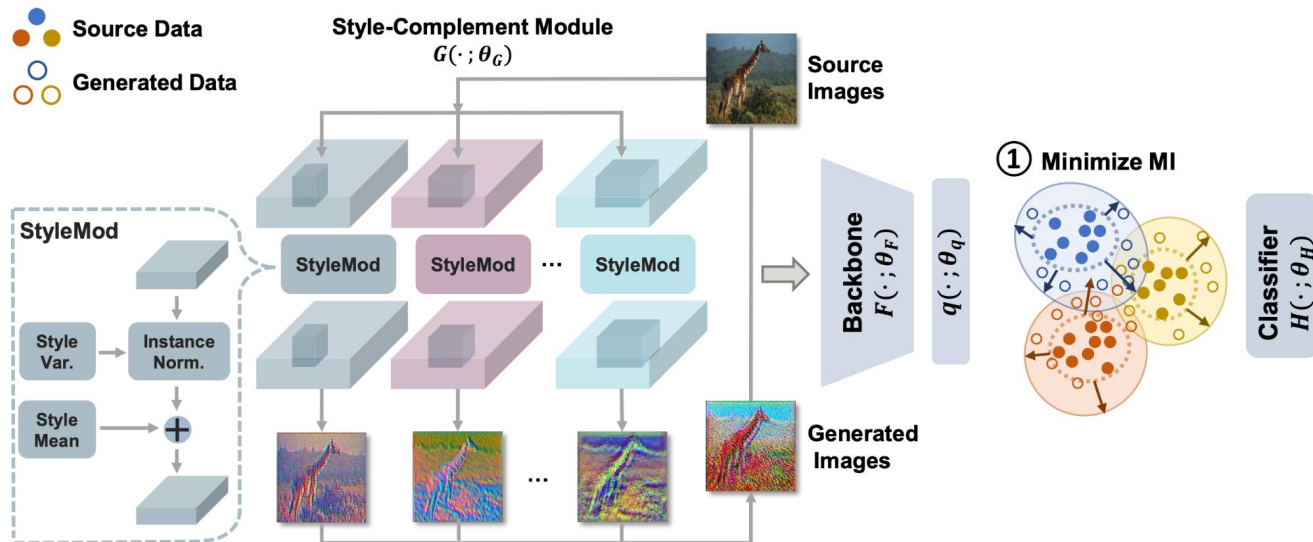
Approaches for Domain Shifts

Model-based Data Augmentation



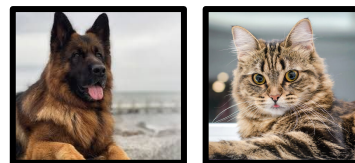
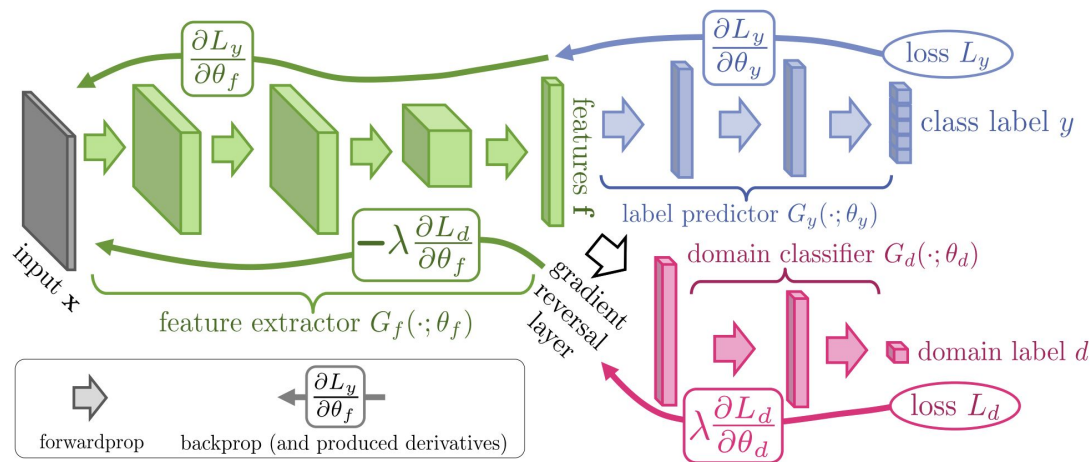
Approaches for Domain Shifts

Model-based Data Augmentation



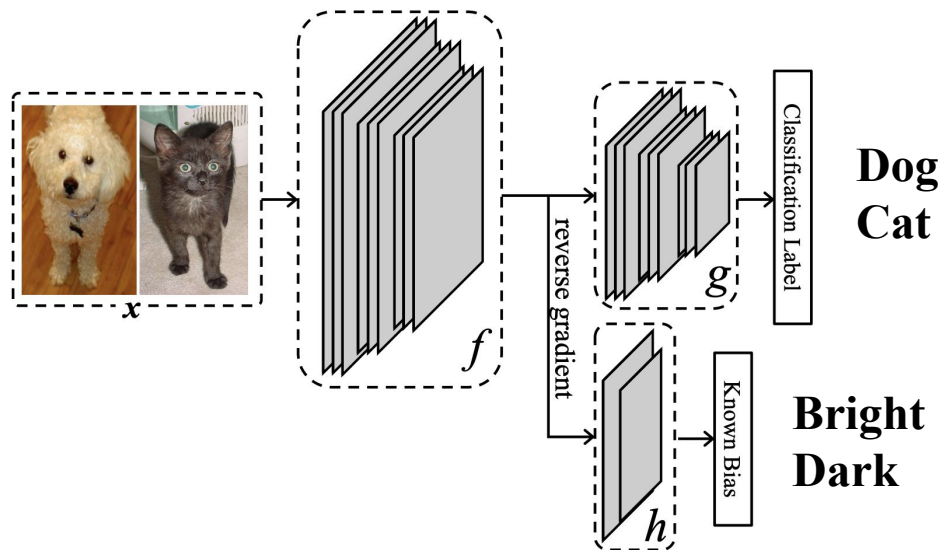
Approaches for Domain Shifts

Domain Adversarial Neural Network



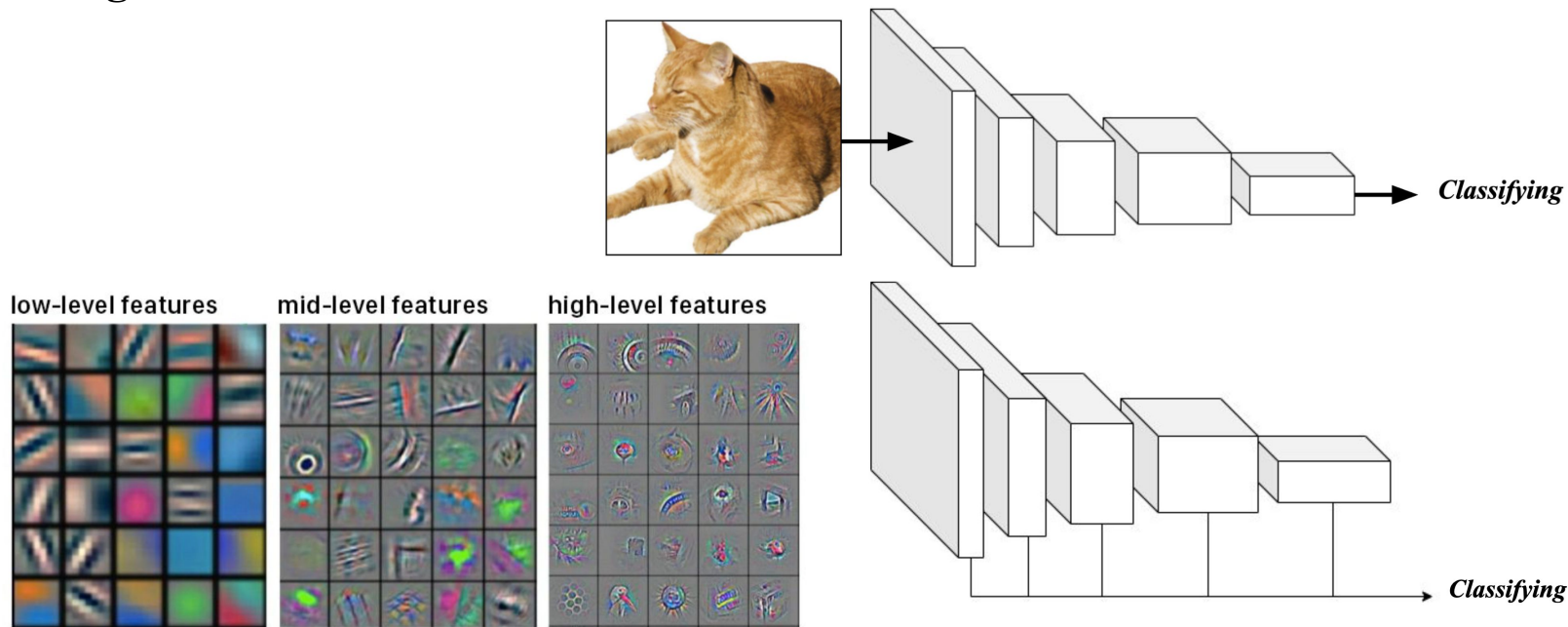
Approaches for Spurious Correlations

Learning not to Learn



Approaches for Spurious Correlations

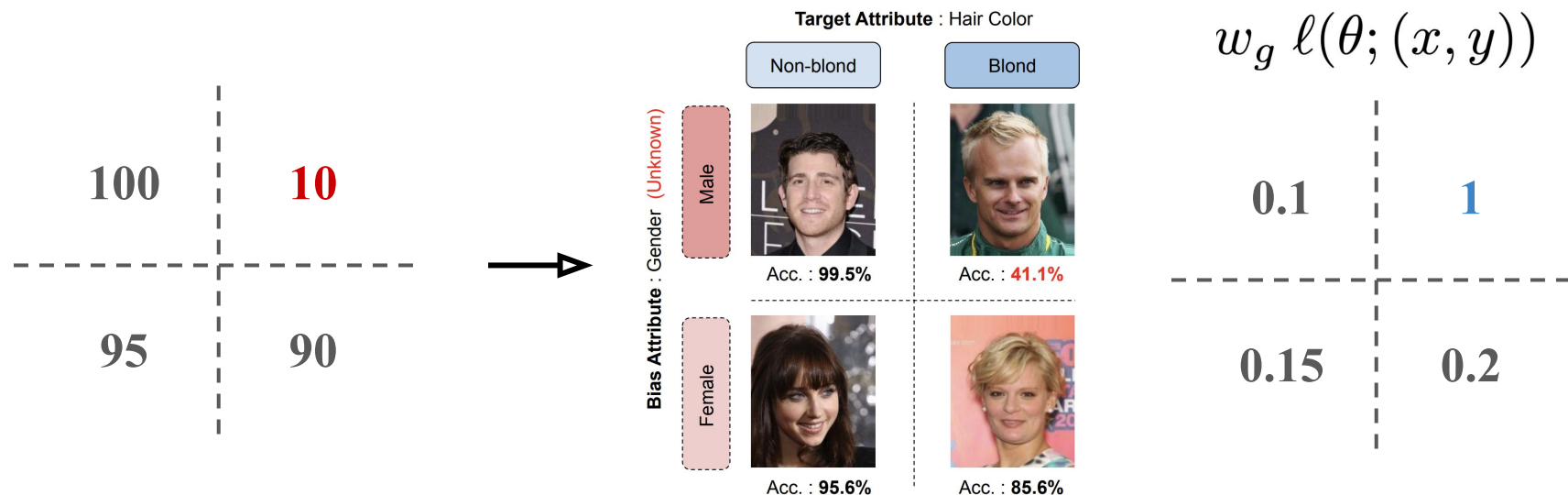
Unbiasing Network



Approaches for Spurious Correlations

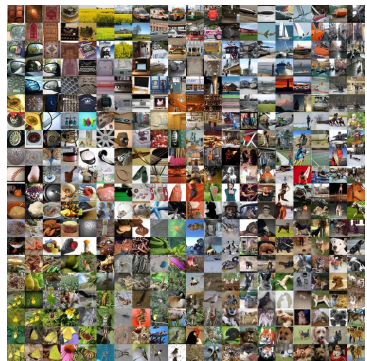
Weighted Resampling

“Let's assign higher weights to underrepresented data during training”



Approaches for Distribution Shifts

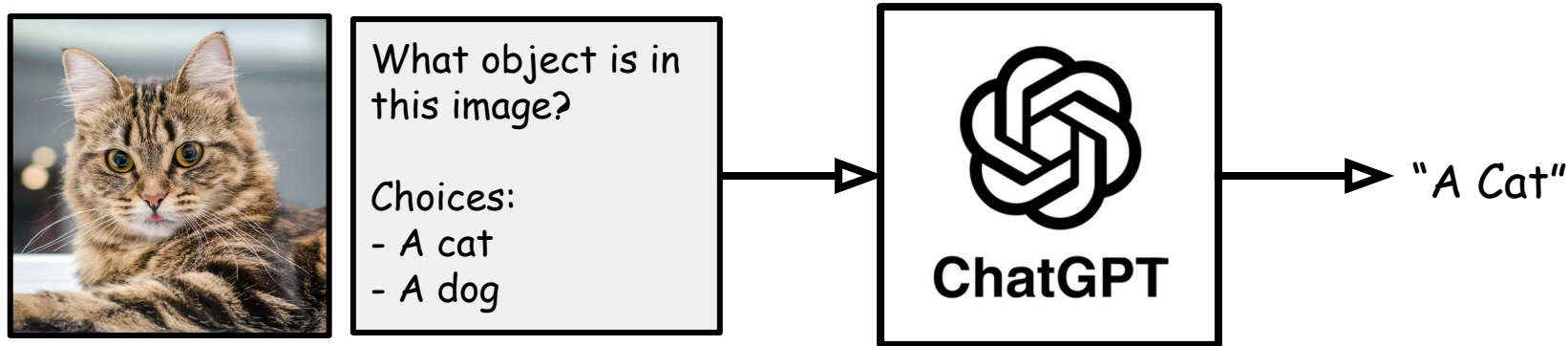
“Let's use a foundation model that has been trained on a large amount of data!”



ChatGPT

Approaches for Distribution Shifts

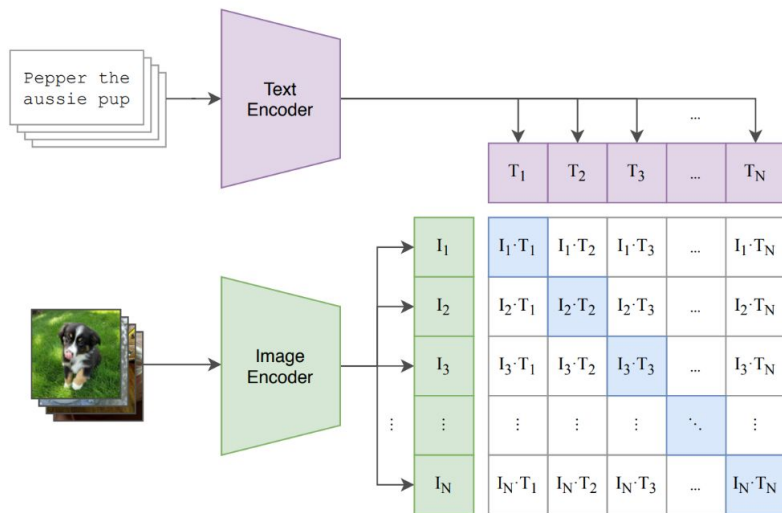
Zero-shot Inference with Foundation Model



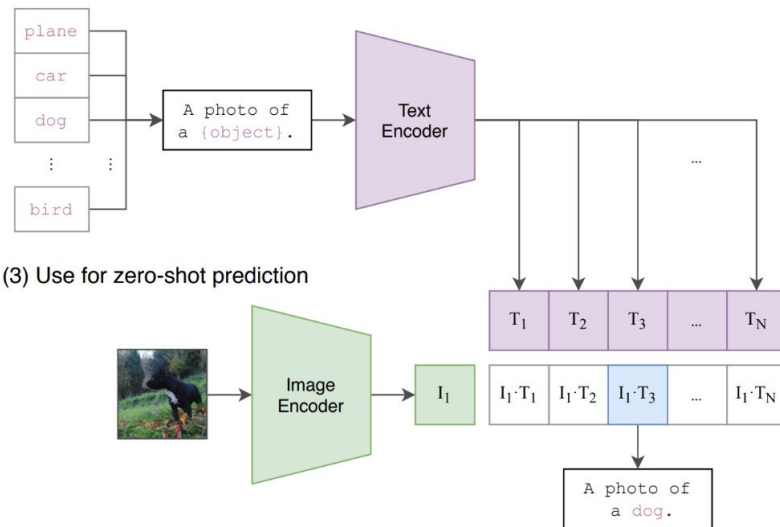
Approaches for Distribution Shifts

Zero-shot Inference with Foundation Model

(1) Contrastive pre-training



(2) Create dataset classifier from label text



(3) Use for zero-shot prediction

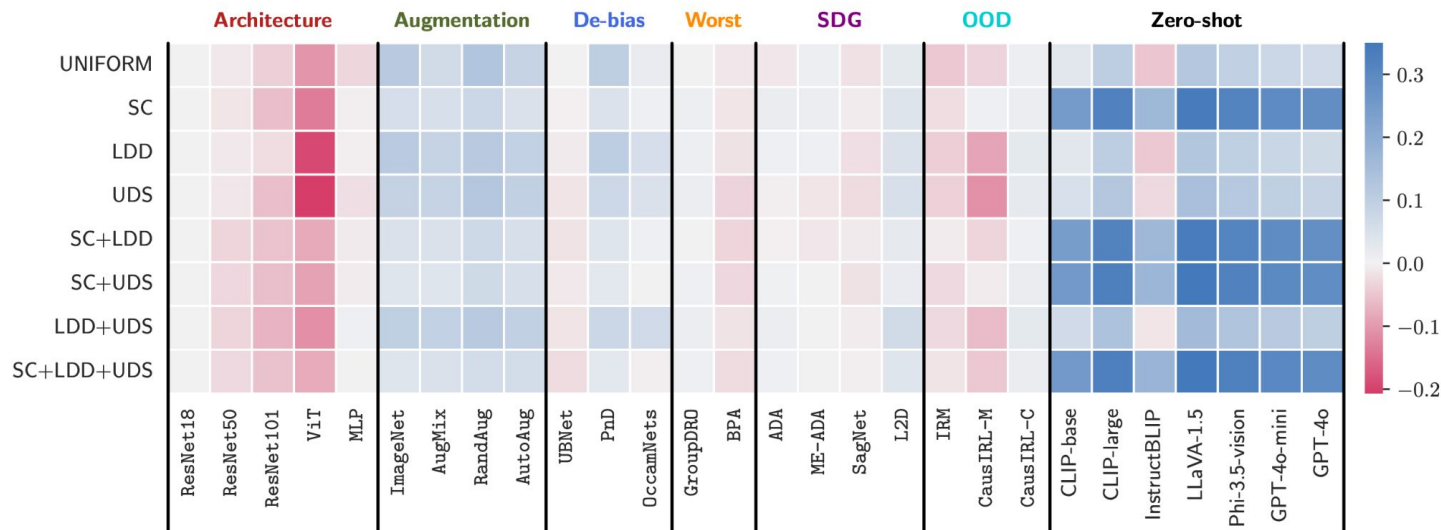
Approaches for Distribution Shifts

Comparison of approaches

<i>Generalization Algorithms</i>	
<i>Architecture</i>	ResNet18, ResNet50, ResNet101 (He et al., 2016), ViT (Dosovitskiy et al., 2020), MLP (Vapnik, 1991).
<i>Heuristic augmentation</i>	Imagenet (He et al., 2016), AugMix (Hendrycks et al., 2019), RandAug (Cubuk et al., 2020), AutoAug (Cubuk et al., 2019).
<i>De-biasing</i>	UBNet (Jeon et al., 2022a), PnD (Li et al., 2023), OccamNets (Shrestha et al., 2022).
<i>Worst-case generalization</i>	GroupDRO (Sagawa et al., 2019), BPA (Seo et al., 2022).
<i>Single domain generalization</i>	ADA (Volpi et al., 2018), ME-ADA (Zhao et al., 2020), SagNet (Nam et al., 2021), L2D (Wang et al., 2021).
<i>Out-of-distribution generalization</i>	IRM (Arjovsky et al., 2019), CausIRL (Chevalley et al., 2022).
<i>Zero-shot inference with foundation model</i>	CLIP (Radford et al., 2021), InstructBLIP (Dai et al., 2024), LLaVA-1.5 (Liu et al., 2023), Phi-3.5-vision (Abdin et al., 2024), GPT-4o-mini, GPT-4o (OpenAI, 2024).

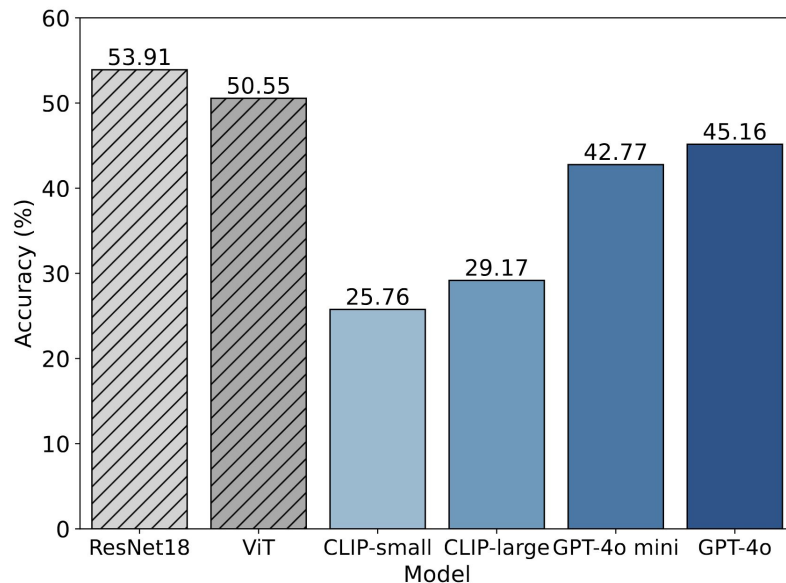
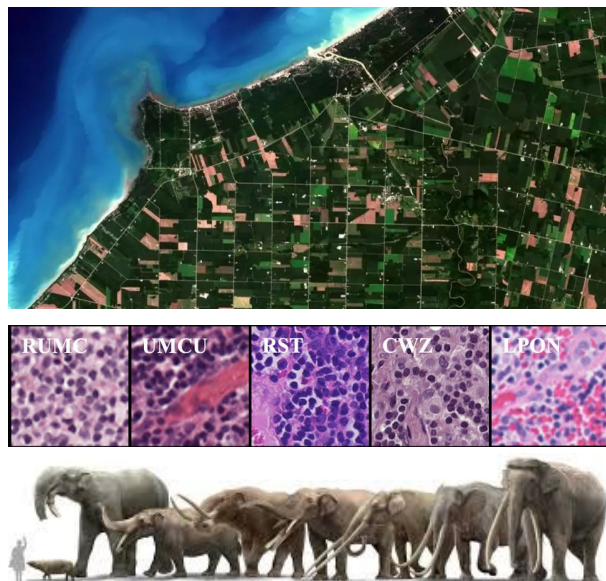
Approaches for Distribution Shifts

1. Simple data augmentation is more effective than state-of-the-art models.
2. Zero-shot inference with foundation model is very effective.



Approaches for Distribution Shifts

Distribution shifts in foundation model



Reference

- [1] Wiles, Olivia, et al. "A Fine-Grained Analysis on Distribution Shift." ICLR 2022.
- [2] Zhang, Yue, et al. "Alleviating Hallucinations of Large Language Models through Induced Hallucinations." CoRR 2023.
- [3] Jeon, Myeongho, et al. "An Analysis of Model Robustness across Concurrent Distribution Shifts." TMLR 2025.
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- [6] Kim, Byungju, et al. "Learning not to learn: Training deep neural networks with biased data." CVPR 2019.
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- [8] Radford, Alec, et al. "Learning transferable visual models from natural language supervision." ICML 2021.
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Thank you!